

$\Omega^0 = \{ \text{space of smooth, complex-valued functions} \}$

$d\Omega^0 \overset{\text{notation}}{\downarrow} \{ \text{exact} \}$

$$H^1(\mathbb{C}, \mathbb{C}) = \frac{\mathbb{Z}^1}{d\Omega^0} = \frac{\{ \text{closed diffs} \}}{\{ \text{exact. diffs} \}} = H_{dR}^1$$

Remark In higher dimension one considers  $H^k(\mathbb{C}, \mathbb{C}) = \frac{\mathbb{Z}^k}{d\Omega^{k-1}}$  with  $\Omega^{k-1} = \{ \text{v. space of smooth } (k-1)\text{-forms} \}$

## Integration: spaces in duality

Main idea: we can integrate a one form (differential) along a curve. The result is independent of the coordinate.

) If  $\omega$  is closed the result is "independent of the path".

More precisely

Proposition If  $\omega \in \mathbb{Z}^1$  (closed one form) and  $\gamma$  is a (multi) contour in homology, then

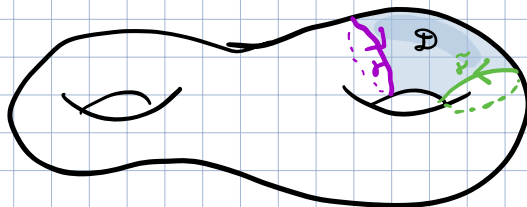
$\int_{\gamma} \omega$  is independent of the homology class.

I.e. if  $\gamma \sim \tilde{\gamma} \Rightarrow \oint_{\gamma} \omega = \oint_{\tilde{\gamma}} \omega$ . Thus:  $\int \omega: H_1(\mathbb{C}, \mathbb{Z}) \rightarrow \mathbb{C}$  is well defined  
 $\gamma \mapsto \int_{\gamma} \omega$

Proof:

- )  $\gamma \sim \tilde{\gamma} \stackrel{\text{def}}{\iff} \partial D = \gamma - \tilde{\gamma}$  (or viceversa)  $\stackrel{0}{=}$
- ) Stokes \ Green integration formula:  $\iint_D d\omega = \int_{\partial D} \omega = \oint_{\gamma} \omega - \oint_{\tilde{\gamma}} \omega$ .

Since  $d\omega \equiv 0 \rightarrow$  Q.E.D.



Fundamental corollary (Poincaré duality)

The pairing  $\int : H_2(\mathbb{C}, \mathbb{Z}) \times H_{dR}^1(\mathbb{C}, \mathbb{C})$  is well defined & nondegenerate.

Proof If  $\tilde{\omega} = \omega + df$  for a smooth function  $f$  then

$$\oint_{\gamma} \tilde{\omega} = \oint_{\gamma} \omega + \oint_{\gamma} df = 0 \text{ by the fundamental thm. of calc since } \gamma \text{ is composed of closed loops.}$$

Nondegeneracy: If  $\oint_{\gamma} \omega = 0$  for all closed loops  $\Rightarrow \omega$  is exact,  
namely,  $\omega = 0$  in  $H_{dR}^1$   $\blacksquare$   $\omega = df$ ,  $f = \int_{p_0}^p \omega$



# The Riemann Bilinear Identity

(For any cycle  $\gamma \in H_1(C, \mathbb{Z})$  and  $\omega \in H_{DR}^1$ , we call  $\int_{\gamma} \omega$  the *period of  $\omega$  along  $\gamma$* )

Preparation: given two closed forms  $\omega = f dz + g d\bar{z}$ ,  $\eta = h dz + k d\bar{z}$   
 their *wedge product*  $\omega \wedge \eta = [f \cdot k - g \cdot h] dz \wedge d\bar{z} = (*) dx \wedge dy$   $\left(\frac{\partial f}{\partial \bar{z}} = \frac{\partial g}{\partial z} \text{ etc.}\right)$

is a "volume (area) form" (2-form) and we can integrate on the surface.

Theorem Let  $\alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g$  be <sup>canonical</sup> contours in  $\mathcal{T}_z(C, p_0)$  so that  
 $\alpha_1, \beta_1, \alpha_2, \beta_2, \dots, \alpha_g, \beta_g = \text{id.}$

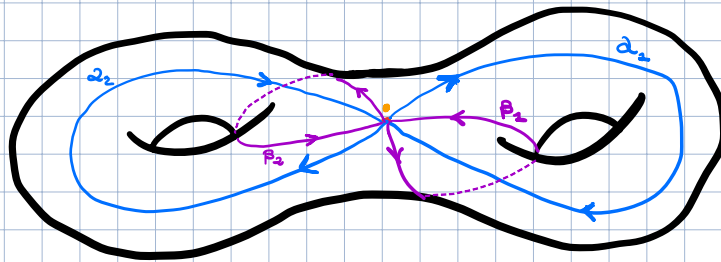
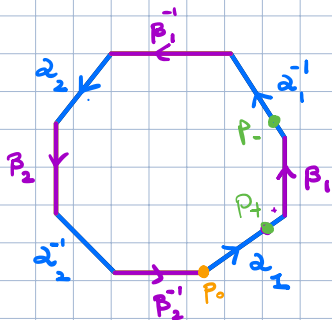
Let  $\omega, \eta \in H_{DR}^1$  (or some representative)

Let  $\mathcal{L}$  denote the canonical dissection (simply connected) of  $C$  along them.

Then: (Riemann Bilinear Identity)

$$\iint_C \omega \wedge \eta = \sum_{j=1}^g \int_{\alpha_j} \omega \int_{\beta_j} \eta - \int_{\alpha_j} \eta \int_{\beta_j} \omega$$

Proof



$$\int_C \omega \wedge \eta = \int_{\mathcal{L}} \omega \wedge \eta.$$

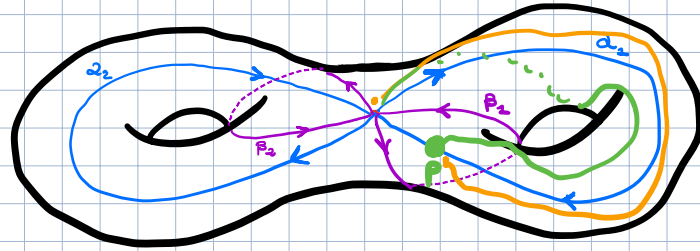
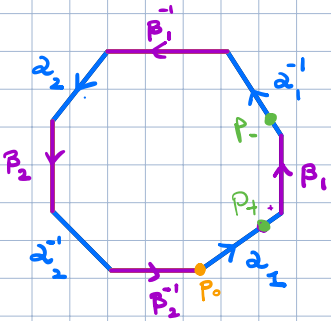
contains  
area of zero  
Leb. measure

$\mathcal{L}$  is simply connected so  $\omega = dF$  for some smooth function

$$F(p) = \int_{p_0}^p \omega$$

along a path that does not cross any  $\alpha, \beta$ .  
(example on figure!)

If  $p \in \alpha_j$ , we can reach it from the left ( $p_+$ ) or from the right ( $p_-$ )



$$F(p_+) = F(p_-) + \int_{p_-}^{p_+} \omega = F(p_-) - \int_{\beta_j} \omega$$

Similarly (note opposite sign!)  $p \in \beta_j$

$$F(p_+) = F(p_-) + \int_{\alpha_j} \omega$$

Now:  $\omega \wedge \eta = d(F \cdot \eta)$  is exact on  $\mathcal{L}$  (not  $\mathcal{E}$ !)

Hence by Stokes/Green theorem

$$\int_{\mathcal{L}} \omega \wedge \eta = \int_{\partial \mathcal{L}} F \eta = \int_{\alpha_2} F(p_+) \eta(p) + \int_{\beta_1} F(p_+) \eta(p) - \int_{\alpha_1} F(p_-) \eta(p) - \int_{\beta_2} F(p_-) \eta(p) + \dots =$$

$$= \int_{\alpha_1} [F(p_+) - F(p_-)] \eta(p) + \int_{\beta_1} [F(p_+) - F(p_-)] \eta(p) + \dots = \int_{\alpha_1} \int_{\beta_1} \omega \cdot \eta - \int_{\alpha_1} \int_{\beta_1} \eta \cdot \omega + \dots \blacksquare \text{ Bingo!!}$$



# Consequences

Let  $\omega = f(z) dz$  be a holomorphic (hence closed) differential

We denote  $\bar{\omega} = \overline{f(z)} d\bar{z}$  (antiholomorphic, also closed).

Apply RBI to  $\omega = \omega$ ,  $\eta = \bar{\omega}$  (set  $A_j = \oint_{\alpha_j} \omega$ ;  $B_j = \oint_{\beta_j} \bar{\omega}$ )

$$\int \omega \wedge \bar{\omega} = \sum_{j=1}^g \left( \oint_{\alpha_j} \omega \oint_{\beta_j} \bar{\omega} - \oint_{\beta_j} \bar{\omega} \oint_{\alpha_j} \omega \right) = 2i \operatorname{Im} \left[ \sum_{j=1}^g A_j \bar{B}_j \right]$$

On the other hand:  $\omega \wedge \bar{\omega} = |f(z)|^2 dz \wedge d\bar{z} = -2i |f(z)|^2 dx \wedge dy$

Therefore:

$$\operatorname{Im} \left[ \sum_{j=1}^g A_j \bar{B}_j \right] \leq 0 \quad \text{for any holomorphic } \omega$$

The equality can hold iff  $|f| \equiv 0$  (in all coordinate charts)

Corollary •) All  $\alpha_j$  periods (or all  $\beta_j$  periods) of a holomorphic differential are zero iff  $\omega = 0$

••) All periods ( $\alpha \neq \beta$ ) are real (imaginary) iff  $\omega = 0$

# {Mero, Holomorphic} differentials

Facts (i.e. theorems)

## Theorem

① For any R.S. of genus  $g$  there are  $g$ , linearly independent holomorphic differentials.

Important given any Torelli marking  $\{a_1, \dots, a_g, b_1, \dots, b_g\}$  and any basis of holomorphic differentials  $\{\eta_1, \dots, \eta_g\}$  there is a normalized basis  $\{\omega_1, \dots, \omega_g\}$  such that:

$$\oint_{a_i} \omega_j = \delta_{ij} \quad , i, j = 1 \dots g$$

Exercise: The matrix  $A_{je} = \oint_{a_j} \eta_e$  is invertible (why? ... RBI). Then define  $\omega_j = \sum_k (A^{-1})_{kj} \eta_k \dots$

② Any meromorphic differential  $\eta$  can be "a-normalized" (uniquely) by adding a lin. combo. of  $\omega_j$ 's so that

$$\oint_{a_j} \eta = 0 \quad \forall j = 1 \dots g$$

③ Given any pair of points  $q_+, q_-$  there is a 3 kind diff

$$\Omega_{q_+, q_-}(p) \text{ such that } \operatorname{res}_{p=q_{\pm}} \Omega_{q_+, q_-} = \pm 1$$

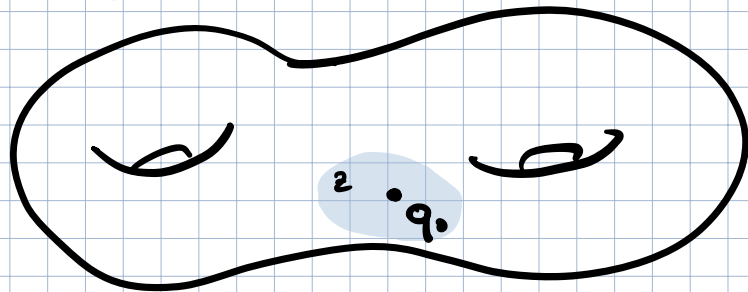
④ For any  $p_0$  and local coord.  $z$  such that  $z(p_0) = 0$  there is a 2<sup>nd</sup> kind differential  $\Omega_k(p)$  such that it has only a pole at  $p_0$  of order  $k+1$  and expansion of the form:

$$\Omega_k(p) = \left[ \frac{1}{z^{k+1}} + O(1) \right] dz \quad (\oplus) \quad (z = z(p), p \rightarrow p_0)$$

## The fundamental bidifferential ("Bergman")

Take  $\Omega_2(p)$  with pole at  $q_0$  and  $\alpha$ -normalized.

$$\Omega_2(p) = \left[ \frac{1}{(z(p) - z(q_0))^2} + O(1) \right] dz(p) \quad \oint_{\alpha_i} \Omega_2 = 0$$



Exercise

$$\tilde{\Omega}_2(p) = \frac{dz(q_0)}{dV(q_0)} \Omega_2(p)$$

The result does depend on choice of local coord. if  $z \rightarrow w$

This suggests: promote the  $q_0$ -dependence to differential!

Def  $B(p; q)$  is the (unique) bi-differential (i.e. differential w.r.t. both  $p, q$ ) such that

①  $\operatorname{div}_p (B(p; q)) \geq -2(q)$  (has a double pole at  $p=q$ )

②  $B(p; q) = \left[ \frac{1}{(z(p)-z(q))^2} + \frac{1}{6} S_B^{(q)} + \mathcal{O}(z(p)-z(q)) \right] dz(p) dz(q)$

③  $\oint_{p \in a_j} B(p; q) = 0 \quad \forall j=1 \dots g$  (a-normalized)

④  $B(p; q) = B(q; p)$  (symmetry)

Properties ①  $\oint_{p \in b_j} B(p; q) = 2\pi i \omega_j(q)$  ( $\leftarrow$  RBI)  
Exercise

② The regular term in the diagonal expansion is the "Bergman projective connection"

Under change of coord.

$$\Omega(p, q) = \left[ \frac{1}{(z-w)^2} + \frac{1}{6} S_B(w) + \mathcal{O}(z-w) \right] dz dw$$

$z = \zeta(p) \quad w = \zeta(q)$   
 $\zeta$  a local coord.

(affine)  
 Bergman projective connection (i.e. stress-energy tensor in CFT)

$$S_B(\tilde{w}) \left( \frac{d\tilde{w}}{dw} \right)^2 = S_B(w) + \{ \tilde{w}, w \}$$

Schwarzian derivative

$$\{ \tilde{w}, w \} = \left( \frac{\tilde{w}'''}{\tilde{w}'} \right)' - \frac{1}{2} \left( \frac{\tilde{w}''}{\tilde{w}'} \right)^2 \quad (\tilde{w}' = \frac{d\tilde{w}}{dw})$$

③ Any other  $\Omega_k(p)$  is obtained from  $B$  as follows:  
 choose  $q_0$  and pointed local coordinate  $\zeta: \mathcal{U} \rightarrow \mathbb{C}$  ( $\zeta(q_0) = 0$ )

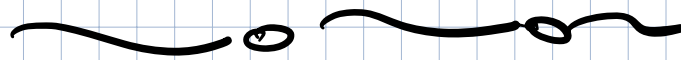
Then

$$\Omega_k(p) = \operatorname{res}_{q=q_0} \frac{(-k)}{\zeta(q)^k} B(p; q)$$

Exercise: In local coord  $\zeta$ ,  $\Omega_k(p) = f(\zeta) d\zeta = \operatorname{res}_{\zeta'=0} \frac{(-k)}{\zeta'^k} \left[ \frac{1}{(\zeta-\zeta')^k} + \dots \right] d\zeta' d\zeta$

Use Cauchy's residue formula to see the singular behavior: check  $a$ -periods.

There is an explicit formula for  $B$  in terms of  $\Theta$ -functions  
(Later)



## Meromorphic differentials

Def Similar to the case of functions

$$\operatorname{div}(\omega) = \sum_{p \in C} \operatorname{ord}_{\omega}(p) (p)$$

Given a pole  $p_0$  of  $\omega$  and a small loop

$$\operatorname{res}_{p=c} \omega \stackrel{\text{def}}{=} \frac{1}{2\pi i} \oint_c \omega$$

The value of residue does not depend on the choice of coordinate used to compute it.



Prop:  $\exists f \eta$  is any meromorphic differential

$$\sum_{p=\text{pole of } \eta} \operatorname{res}_p \eta = 0$$

Proof By Stokes/Green

$$0 = \int_{C/\text{disks}} d\eta = \sum \oint_{\partial D_j} \eta = 2\pi i \sum_{\text{poles } p} \operatorname{res}_p \eta$$



# Normalized holomorphic differentials

Let  $\mathcal{C}$  be of genus  $g$   $\{\alpha_1 \dots \alpha_g, \beta_1 \dots \beta_g\}$  a Teichmüller marking

Def the normalized basis of holomorphic differentials  $\omega_1, \dots, \omega_g$  such that

$$\oint_{\alpha_j} \omega_k = \delta_{jk}$$

Nota bene For any basis  $\eta_1, \dots, \eta_g$  the matrix  $A_{ij} := \oint_{\alpha_i} \eta_j$  is invertible  
(Exercise). Then  $\begin{pmatrix} \omega_1 \\ \vdots \\ \omega_g \end{pmatrix} = A^{-1} \begin{pmatrix} \eta_1 \\ \vdots \\ \eta_g \end{pmatrix}$

Theorem (Riemann) Define the matrix of normalized  $\beta$  period as  $\pi_{ij} := \oint_{\beta_i} \omega_j$ .

Then

①  $\pi_{ij} = \pi_{ji}$  ;

②  $\text{Im}(\pi) > 0$  (positive definite)

Proof. All consequence of RBT applied to  $\omega_i, \omega_j$ .

① Since  $\omega_i \wedge \omega_j \equiv 0$  (why? ... (  $dz \wedge dz = 0$  ))

$$0 = \iint_{\mathcal{C}} \omega_i \wedge \omega_j = \sum_{l=1}^g \left( \underbrace{\oint_{\alpha_l} \omega_i}_{\delta_{il}} \oint_{\beta_l} \omega_j - \underbrace{\oint_{\alpha_l} \omega_j}_{\delta_{jl}} \oint_{\beta_l} \omega_i \right) = \pi_{ij} - \pi_{ji}$$

② Let  $\omega = \sum c_j \omega_j$ ,  $c_j \in \mathbb{C}$ .

Then 
$$0 \geq \frac{1}{2i} \int_C \omega \bar{\omega} = \frac{1}{2i} \sum_{l,j,k} c_j \int_{\alpha_l} \omega_j \int_{\beta_k} \bar{\omega}_k \bar{c}_k - \bar{c}_k \int_{\alpha_l} \bar{\omega}_k \int_{\beta_l} \omega_l c_l =$$

$$= \frac{1}{2i} [c^+ \cdot \pi \cdot c - c^+ \cdot \pi c] = -c^+ (\text{Im } \pi) c \quad \blacksquare$$

Maybe!

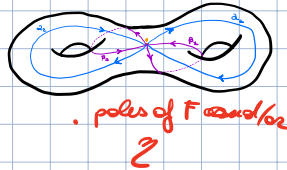
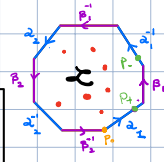
### Reciprocity Theorems (relate $\beta$ -period to residues)

Let  $\eta, \omega$  be meromorphic differentials, with at least one ( $\omega$ ) of second kind (i.e. no residues)

Let  $\mathcal{L}$  be the canonical dissection, let  $F = \int_{\beta_1} \omega$ ; it is a single-valued meromorphic function on  $\mathcal{L}$ .

Now integrate  $F \cdot \eta$  along  $\partial \mathcal{L}$  and use Cauchy's theorem:

$$\sum_{l=1}^g \int_{\alpha_l} \omega \int_{\beta_l} \eta - \int_{\alpha_l} \eta \int_{\beta_l} \omega = 2\pi i \sum_{\substack{\text{poles of} \\ F \cdot \eta}} \text{res}_\eta F \cdot \eta$$



### Special cases of interest

A meromorphic differential  $\eta$  on a Torelli marked R.S. is called  $\alpha$ -normalized if

$$\int_{\alpha_j} \eta = 0 \quad \forall j=1 \dots g.$$

Suppose  $\eta$  has a single pole at  $p_0$  of order  $k+1$  with singular part

$$\eta = \frac{1}{z} \left( \frac{1}{z^{k+1}} + O(1) \right) dz \quad (k=1, 2, \dots)$$

convenience normalization

### Special case I

$$\int_{\beta_j} \eta = \text{res}_{p_0} \frac{1}{z} \omega_j(p_0)$$

Proof:

$$\sum_{l=1}^g \int_{\alpha_l} \omega_l \int_{\beta_l} \eta - \int_{\alpha_l} \eta \int_{\beta_l} \omega_l = \int_{\beta_j} \eta = 2\pi i \text{res}_{p_0} \left( \frac{1}{z} \omega_j \right)$$

### Special case II

$\Omega_{p_1, p_2}$  the  $\alpha$ -normalized  $\beta$ -kind differential

$$\int_{\beta_j} \Omega_{p_1, p_2} = 2\pi i \int_{p_1}^{p_2} \omega_j \quad (\text{path not crossing the marking } \alpha_j \text{'s})$$



# Some concrete example: Plane curves

A plane curve is the Locus in  $\mathbb{C}^2$

$$F(z, w) = \sum a_{ij} z^i w^j = 0$$

Assume: non singular, i.e.  $F_z = F_w = F = 0$  has no solutions

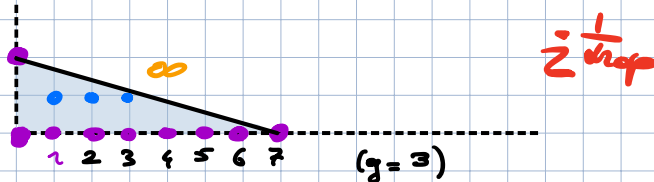
Newton's polygon:

$$N = \text{Convex Hull} \{ (i, j) \in \mathbb{Z}^2 : a_{ij} \neq 0 \}$$

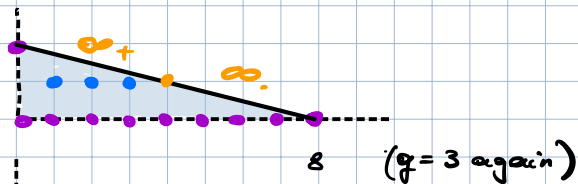
From this we can read off

- # of pts at  $z = \infty$  ( $w = \infty$ ) together with local coord.
- # of holomorphic diffs.

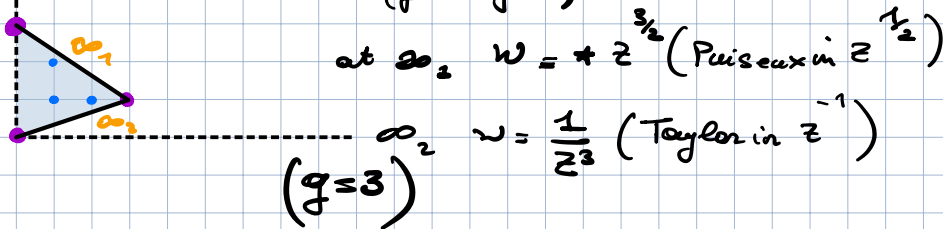
o)  $w^2 = P_{2g+1}(z)$



o)  $w^2 = P_{2g+2}(z)$



o)  $w^3 + z^3 w + z = 0$

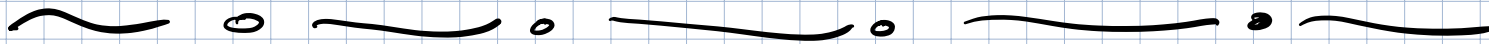


$$w^3 + z^3 + z^3 w + z = 0$$

(The # of pts at  $\infty$  is # of sides facing right; Puiseux series in  $z^{1/k}$  where  $k$  is the drop of the side)

Rule: The differentials  $\omega_{(i,j)} := \frac{z^{i-1} w^{j-1} dz}{F_z}$  are holomorphic  $\forall (i,j)$  in the interior of  $\mathcal{N}$

Nota bene: on  $F(z,w)=0$  we have  $F_z dz = -F_w dw$ , hence this



The next 2 slides are FYI

about the Riemann-Roch theorem: will not be covered in class. An important consequence is

- Fact: ① if  $f$  is a meromorphic function then  $\deg(\operatorname{div} f) = 0$   
② if  $\omega$  is any meromorphic (or holomorphic) differential, then

$$\deg \operatorname{div}(\omega) = 2g - 2$$

Exercise For  $y^2 = \mathbb{P}_{2g+2}(z)$  (compactified), verify for  $\eta = \frac{z^l dz}{y}$  ( $l \leq g-1$ ) holomorphic differential

# (F/YI but skip in class)

## Riemann-Roch

The divisor of a meromorphic function is called **principal**

**Prop** Every principal divisor has zero degree.

**Proof**  (Assume  $\alpha, \beta$ 's are chosen/deformed to avoid zeros/poles)

By the argument principle  $\oint \frac{df}{f} = \{\text{total \# zeros}\} - \{\text{total \# poles}\} = \text{deg}(\text{div}(f))$

OTOH  $\oint \frac{df}{f} = 0$  because the integral traverses each  $\alpha, \beta$ 's twice in opposite directions and

$\frac{df}{f}$  takes same values on  $\pm$  both sides ■

**Question:** what about the converse?

**Ex.**  $g=0$   $\mathcal{D} = (z_1) + (z_2) + \dots + (z_n) - (p_1) - \dots - (p_n)$   
arbitrary divisor of degree 0. (This possibly repeated: for simplicity all  $\neq \infty$ )

Then  $f(z) = \star \frac{\prod_{j=1}^n (z - z_j)}{\prod_{i=1}^n (z - p_i)}$  does the trick.

**In genus  $g \geq 1$**  We'll see that not all divisors of degree 0 are principal.

The pts of the divisor must satisfy  $g$  extra conditions.

**Def (Partial order)** Given two divisors  $\mathcal{D} = \sum_{P \in C} k_P \cdot (P)$ ,  $\tilde{\mathcal{D}} = \sum_{P \in C} \tilde{k}_P \cdot (P)$   
we say  $\mathcal{D} \geq \tilde{\mathcal{D}}$  if  $k_P \geq \tilde{k}_P \forall P$ .

## Some more consequences

Let  $\omega$  be any meromorphic differential: what is  $\text{deg div}(\omega)$ ?

First:  $\text{div}(\omega)$  is a divisor class (modulo linear equivalence) independent of  $\omega$ . Indeed,

if  $\omega, \eta$  are {mero/holo} morphic differentials, then  $f(z) = \frac{\omega}{\eta}$  is a meromorphic function.

(proof:  $\omega = h(z) dz$ ,  $\eta = g(z) dz \Rightarrow f(z) = \frac{h(z)}{g(z)}$   
 $= \tilde{h}(w) dw$ ,  $= \tilde{g}(w) dw \Rightarrow f(w) = \frac{\tilde{h}(w)}{\tilde{g}(w)} = \frac{h(z(w))}{g(z(w))}$   
 $\tilde{h} = h \cdot \frac{dz}{dw}$ ,  $\tilde{g} = g \cdot \frac{dz}{dw} \Rightarrow f(w) = \frac{\tilde{h}(w)}{\tilde{g}(w)} = \frac{h(z(w))}{g(z(w))}$ )

We call this class the **canonical (divisor) class  $\mathcal{K}$** .

Then **Prop.**  $\text{deg } \mathcal{K} = 2g - 2$

(If  $\mathcal{D} = \text{div}(\omega)$ ,  $\omega$  holomorphic, then  
 $\tau_0(-\mathcal{D}) = g$  and  $\mathcal{R}(-\mathcal{D}) = \mathbb{C} \{1, z, \dots, z^{g-1}\}$   
so  $g = \underset{\pm 1}{i(\mathcal{D})} + \text{deg } \mathcal{D} - g + 1 = \text{deg } \mathcal{K} - g + 2$ )

**Def** Let  $l = 1, 2, \dots$ . A  $l$ -differential is  $\omega = f(z) dz^l = \tilde{f}(w) dw^l$  with  
 $\tilde{f}(w) = f(z) \cdot \left(\frac{dz}{dw}\right)^l$ . (Section of the  $l$ -th power of the canonical line-bundle  $\mathcal{K}^{\otimes l}$ )

**Def.**  $\mathcal{R}(\mathcal{D}) = \{f: f \text{ meromorphic, such that } \text{div}(f) \geq \mathcal{D}\}$   
 $\mathcal{I}(\mathcal{D}) = \{\omega: \omega \text{ meroph. diff. such that } \text{div}(\omega) \geq \mathcal{D}\}$   
 $\tau_0(\mathcal{D}) = \dim \mathcal{R}(\mathcal{D})$ ;  $i(\mathcal{D}) = \dim \mathcal{I}(\mathcal{D})$

**Prop** If  $\mathcal{D} - \tilde{\mathcal{D}}$  is principal ("linear equivalence") then  
 $\tau_0(\mathcal{D}) = \tau_0(\tilde{\mathcal{D}})$

**Proof**  $\exists f: \text{div}(f) = \mathcal{D} - \tilde{\mathcal{D}}$ .  $\forall h \in \mathcal{R}(\tilde{\mathcal{D}})$  then  $hf \in \mathcal{R}(\mathcal{D})$   
and  $\forall g \in \mathcal{R}(\mathcal{D})$  then  $g/f \in \mathcal{R}(\tilde{\mathcal{D}})$  ■

**Examples**  $\circ$  If  $\mathcal{D} = 0$   $\tau_0(\mathcal{D}) = 1$  (only constants)  
 $i(\mathcal{D}) = g$  (all hol-differentials)

## Riemann-Roch Theorem

For any  $\mathcal{D}$   $\tau_0(\mathcal{D}) = i(\mathcal{D}) - g + 1 + \text{deg } \mathcal{D}$

**Note:** The proof is not difficult and boils down to the "nullity + rank" theorem. See notes.

**Prop** The holomorphic  $l=2$  differentials are a vector space of dimension  $3g-3$  ( $g \geq 2$ )

**Why bother?** In a more in-depth course we could study the moduli space of (smooth, genus- $g$ , compact) Riemann Surfaces,

$$\mathcal{M}_g = \{C = \text{smooth, cpt, genus}(C) = g\} / \sim$$

where  $\sim$  is the equivalence relation:  $C \sim \hat{C} \Leftrightarrow \exists \varphi: C \rightarrow \hat{C}$   
holomorphic,  $\varphi^{-1}: \hat{C} \rightarrow C$  also holomorphic.

**Fact:**  $\dim \mathcal{M}_g = 3g-3$  ( $g \geq 2$ ) ( $\dim \mathcal{M}_{1,1} = 1$ )

**Answer:**  $H_0(\mathcal{X}_C^2) = \{\text{vect. space of holomorphic quadratic differentials}\}$  is  
isomorphic (Beltrami) to the co-tangent space of  $\mathcal{M}_g$   
at  $[C] \in \mathcal{M}_g$

## Consequences

If  $\mathcal{D}$  is a positive divisor,  $\mathcal{L}(\mathcal{D})$  is a subspace of holomorphic differentials and hence  $i(\mathcal{D}) \leq g$ .

In general, if  $\mathcal{D} = (p_1) + \dots + (p_k)$ ,  $\mathcal{L}(\mathcal{D})$  consists of

hol. diff. that vanish at  $p \in \{p_1, \dots, p_k\}$  so that (generically)

there are  $k$  linearly independent constraints. Thus

$$i(\mathcal{D}) = g - k \quad \text{and}$$

$$i(-\mathcal{D}) = g - k - g + 1 + k = 1 \quad \text{if } k \leq g$$

(unless  $\mathcal{D}$  is "special", i.e. the constraints are dependent)

For example: if  $\mathcal{D} = (p_1) + \dots + (p_g)$  (distinct points for simplicity)

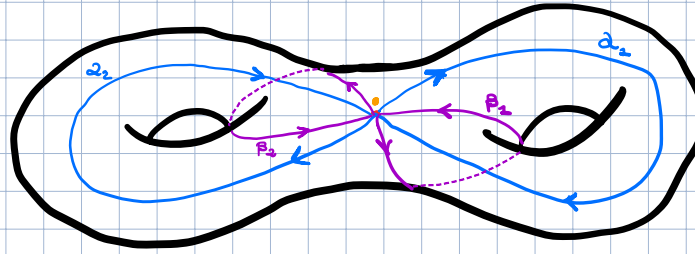
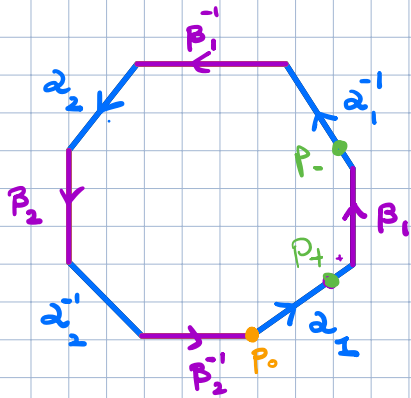
then the  $i(\mathcal{D})$  is the corank of the matrix

$$\Delta(\mathcal{D}) = \begin{bmatrix} \omega_2(p_1) & \dots & \omega_g(p_1) \\ \omega_2(p_2) & \dots & \omega_g(p_2) \\ \vdots & \ddots & \vdots \\ \omega_2(p_g) & \dots & \omega_g(p_g) \end{bmatrix} \quad \begin{array}{l} \text{(evaluation of } \omega\text{'s in any local coord.} \\ \text{does not affect the rank)} \end{array} \quad \text{Exercise!}$$

Generically  $\det \Delta \neq 0$  and hence  $\mathcal{L}(\mathcal{D}) = \{0\}$  ( $i(\mathcal{D}) = 0$ )

If  $\mathcal{D}$  is special (of degree  $g$ ) then  $i(\mathcal{D}) > 0$

# Abel map



- Fix:
- ) Torelli: marking  $\{\alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g\}$
  - ) Normalized basis  $\omega_1, \dots, \omega_g$ ,  $\oint_{\alpha_j} \omega_i = \delta_{ij}$
  - ) B-period matrix  $\pi_{ij} = \oint_{\beta_i} \omega_j \stackrel{\text{Riemann Theorem}}{=} \pi_{ji}$

Def The **Abel map** (with basepoint  $p_0$ ) is

$$A(p) = \begin{bmatrix} \int_{p_0}^p \omega_1 \\ \vdots \\ \int_{p_0}^p \omega_g \end{bmatrix} \in \mathbb{C}^g \quad (\text{same contour of integration for all components.})$$

Properties For brevity we denote  $A(p^\gamma)$  the analytic continuation along a contour  $\gamma$ . Here what matters is not the homotopy but the homology class of  $\gamma$ , so we can write  $A(p+\gamma)$ .

- )  $A(p + \alpha_j) = A(p) + \begin{bmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{bmatrix} \xrightarrow{j} = e_j$
- )  $A(p + \beta_j) = A(p) + \pi \cdot e_j$
- ) In general, if  $\gamma = \sum m_j \alpha_j + n_j \beta_j$  (in homology) then

$$\underbrace{A(p + \gamma)}_{\circ} = \underbrace{A(p)}_{\circ} + \underbrace{\vec{m}}_{\circ} + \pi \cdot \underbrace{\vec{n}}_{\circ}, \quad \vec{m}, \vec{n} \in \mathbb{Z}^g.$$

Exercises!

Jacobian variety:  $J = J(C) = \mathbb{C}^g / \mathbb{Z}^g + \pi \cdot \mathbb{Z}^g$

i.e.  $\mathbb{C}^g$  modulo the equiv. rel.

$$\underline{z} \sim \underline{\tilde{z}} \iff \underline{z} - \underline{\tilde{z}} = \vec{m} + \pi \cdot \vec{n} \text{ for some } \vec{m}, \vec{n} \in \mathbb{Z}^g.$$

$J(C)$  is a  $g$ -dimensional complex torus, ( $2g$ -dim. real torus)

The Abel map is well defined as a map  $A: C \rightarrow J(C)$

For any divisor  $\mathcal{D} = \sum k_j (p_j)$  w.  $k_j \in \mathbb{Z}$  we extend the definition

$$A(\mathcal{D}) := \sum k_j A(p_j) = \sum k_j \int_{p_0}^{p_j} \vec{\omega}$$

( $\vec{\omega} = \begin{bmatrix} \omega_1 \\ \vdots \\ \omega_g \end{bmatrix}$ )

# FYI but skip in class: Abel & Jacobi Theorems

## Main Theorems: Abel & Jacobi

### Theorem (Abel)

Suppose  $\mathcal{D} = \mathcal{D}_+ - \mathcal{D}_-$  is a divisor of degree zero ( $\mathcal{D}_+$  the positive part,  $\mathcal{D}_-$  "negative part")

Then:  $\mathcal{D}$  is principal (i.e. the divisor of zeros/poles of a meromorphic function)

iff  $A(\mathcal{D}) = 0 \in \mathbb{J}(C)$  or, equivalently,

$\exists \vec{m}, \vec{n} \in \mathbb{Z}^g$  s.t.  $A(\mathcal{D}) = \vec{m} + \mathbb{K}\vec{n}$ .

Remark These are the  $g$  conditions we mentioned earlier.

Remark The proof is actually constructive - see notes.

Remark Observe the contrast with the case of  $\mathbb{P}^1$ , where there are  $g=0$  conditions (i.e. any degree-zero divisor is principal)

Remark The set of zero-degree divisors is an Abelian group. The principal divisors are a subgroup and then we can think abstractly

$$\mathbb{J}(C) = \{ \text{Degree zero divisors} \} / \{ \text{Principal divisors} \}$$

(thanks to Abel's theorem)  $\rightarrow$  "Abelian variety" (i.e. a variety with Abelian group structure)

## Jacobi Theorem

•) For any  $\underline{e} \in \mathbb{J}(C)$  there is  $\mathcal{D}$ , positive divisor of degree  $g$ , such that  $\underline{e} = A(\mathcal{D})$

In other words: the map from the set of +ve divisors of degree  $g$ ,  $A(\mathcal{D}_g)$  is surjective.

••) The map is injective on the non-special divisors  $\mathcal{D}_g$  of degree  $g$ , i.e.  $i(\mathcal{D}_g) = 0$

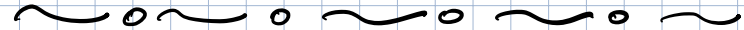
Remark on some aspects of proof.

$\exists \mathcal{D}_g^0 = (p_1^0) + \dots + (p_g^0)$  (Distinct for simplicity) Then the Jacobian matrix of the Abel map is

$$A(\mathcal{D}_g^0) = A(p_1^0) + \dots + A(p_g^0) \rightarrow dA(\mathcal{D}_g^0) = \begin{bmatrix} \omega_1(p_1^0) & \dots & \omega_1(p_g^0) \\ \omega_g(p_1^0) & & \omega_g(p_g^0) \end{bmatrix}$$

Then  $\det[dA] \neq 0$  exactly iff  $i(\mathcal{D}_g^0) = 0$

(see comments around Riemann-Roch)



# Use of $\Theta$ functions.

They are used to construct canonical objects:

→ Cauchy kernels (to solve boundary value problems)

→ Fundamental bidifferential (a.k.a. "Bergman kernel" <sup>wrong name</sup>)  
↳ Chekhov-Eynard-Orantin topological recursion

→ Projective connections (a.k.a.opers  $\Leftrightarrow$  BPS states)

→ Szegő kernels ( $\rightarrow$  det. of  $\partial\bar{\partial}$  operators)

$\Theta$ -functions satisfy many functional identities, almost all of which reducible to Fay-Identities.



# $\Theta$ -functions with characteristics

(Generalization of Jacobi's elliptic  $\vartheta_j$ ). For  $\vec{n}, \vec{m} \in \mathbb{Z}^2$  one looks at the half-periods:

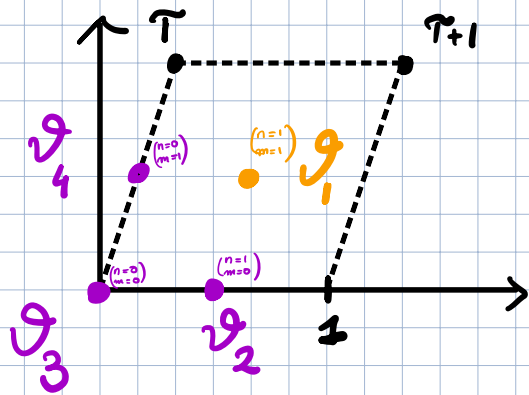
$$[\vec{n}, \vec{m}] = \Delta = \Delta_{\vec{n}, \vec{m}} = \frac{\vec{n}}{2} + \tau \frac{\vec{m}}{2}.$$

Def  $\Theta_{\Delta}(z) := \exp\left[2\pi i \left(\frac{1}{8} \vec{m}^t \tau \vec{m} + \frac{1}{2} \vec{m} \cdot z + \frac{1}{4} \vec{m} \cdot \vec{n}\right)\right] \Theta(z + \Delta)$

Property  $\Theta_{\Delta}(-z) = e^{i\pi \vec{n} \cdot \vec{m}} \Theta_{\Delta}(z)$

Thus we split the half-periods into **even/odd** depending on the parity of  $\vec{n} \cdot \vec{m}$ .

Example (genus 1)  $\mathbb{T}(\tau) = \mathbb{C} / (\mathbb{Z} + \tau\mathbb{Z}) \quad (\text{Im } \tau > 0)$



If  $\Delta = \frac{a}{2} + \tau \frac{b}{2}$ ,  $a, b \in \{0, 1\}$  then

$$\Theta_{\Delta}(z) = \sum_{n \in \mathbb{Z} + \frac{b}{2}} \exp\left(i\pi n^2 \tau + 2\pi i n \left(z + \frac{a}{2}\right)\right)$$

$$\Theta_{[0,0]} = \vartheta_3 \quad \Theta_{[1,0]} = \vartheta_2 \quad \Theta_{[0,1]} = \vartheta_4 \quad \Theta_{[1,1]} = \vartheta_1$$

Note: For  $\Delta$  an **odd** half-period we necessarily have  $\Theta_{\Delta}(0) = 0 \Rightarrow \Delta \in \text{div}(\Theta)$

There exists an <sup>(Mumford)</sup> odd, nonsingular, half period namely  $\Delta = \frac{\sqrt{2l}}{2} + \pi \cdot \frac{m}{2}$   
 $(\vec{n}, \vec{m} \in \{0, 1\}^2)$ ,  $\vec{n} \cdot \vec{m} \in 2\mathbb{Z} + 1$  (odd) such that:

(gradient!)  $\rightarrow \nabla \Theta(\Delta) \neq 0$

## Fay identities

Let  $p_1, \dots, p_N, q_1, \dots, q_N \in \mathbb{C}$ , Let  $\underline{e} \in \mathbb{J}(\mathbb{C}) \setminus \text{div}(\Theta)$   
Then

$$\det \left[ \frac{\Theta(A(p_i) - A(q_j) + \underline{e})}{\Theta(\underline{e}) \Theta_\Delta(A(p_i) - A(q_j))} \right]_{i,j=1}^N = \frac{\prod_{i < j} \Theta_\Delta(A(p_i) - A(p_j)) \Theta_\Delta(A(q_i) - A(q_j)) \cdot \Theta(A(\sum_{i=1}^N (p_i - q_i)) + \underline{e})}{\prod_{i,j=1}^N \Theta_\Delta(A(p_i) - A(q_j)) \cdot \Theta(\underline{e})}$$

(For  $N=3 \rightarrow$  Fay trisecant id.)

### Remark

This is a higher genus generalization of Cauchy's determinantal identity

$$\det \left[ \frac{1}{x_i - y_j} \right] = \frac{\prod_{i < j} (x_i - x_j) (y_i - y_j)}{\prod_{i,j} (x_i - y_j)}$$

## Examples/exercises.

In genus  $g=1$ : There is only one odd characteristic  $[1, 1] \rightarrow$  Jacobi  $\vartheta_2(z)$

Then Fay identities read: 
$$K(v, s) := \frac{\vartheta_3(v-s+e)}{\vartheta_1(v-s)\vartheta_3(e)} \quad (e \neq \frac{1+\tau}{2} \pmod{\mathbb{Z}+\tau\mathbb{Z}})$$

$$\det \left[ K(v_i, s_j) \right]_{i,j=1}^N = \frac{\prod_{i < j} \vartheta_1(v_i - v_j) \vartheta_1(s_i - s_j) \vartheta_3(\sum (v_i - s_j) + e)}{\prod_{i,j} \vartheta_1(v_i - s_j) \vartheta_3(e)}$$

How to prove it?:

- Both sides are antisymmetric in exchanges  $s_i \leftrightarrow s_j$  or  $v_i \leftrightarrow v_j \Rightarrow$  study as function of  $v_i$
- Both sides have zeros when  $v_i \in \{v_2, \dots, v_N\}$ , poles when  $v_i \in \{s_1, \dots, s_N\}$
- Both sides have the same quasi-periodicity under shifts  $v_i \mapsto v_i + 1$ ,  
 $v_i \mapsto v_i + \tau$
- $\frac{\text{LHS}}{\text{RHS}}$  must be elliptic and can have at most 1-pole  $\Rightarrow$  (R.R.) constant
- By coalescence, figure out constant = 1.

# More about $\Delta$ 's. (a.k.a. 2-torsion points of $\mathbb{J}(C)$ )

The half periods of  $\mathbb{J}(C)$  are in 1-1 correspondence with semi-canonical line bundles namely, line bundles whose square is  $\mathcal{K}$  (holomorphic differentials)  $\rightarrow$  spin bundles.

•) the even have no holomorphic sections, Generically (in the moduli space  $\mathcal{M}_g$ )

••) the nonsingular odd have exactly one hol. section

$\nearrow$   
what is that?

$\Delta$  odd-nonsingular  $\longleftrightarrow \mathcal{D}_\Delta$  of degree  $g-1$   
(Riemann, see above)

Then  $2\mathcal{D}_\Delta = \mathcal{K}$ , namely there is a holomorphic differential  $\omega_\Delta$  such that  $\text{div } \omega_\Delta = 2\mathcal{D}_\Delta$  and then

$h_\Delta = \sqrt{\omega_\Delta}$  is a well-defined spinor (half-form)

Formula

$$\omega_{\Delta}(p) = \sum_{l=1}^g \left( \frac{\partial \Theta}{\partial z_l} \Big|_{z=0} \right) \cdot \omega_j(p)$$

(Fay<sup>73</sup>)

# Fundamental bidifferential (a.k.a. "Bergman")

Take  $\Theta_{\Delta}$  as before (non-singular odd characteristics)

Define

$$\Omega(p, q) = d_p d_q \ln \Theta_{\Delta}(A(p) - A(q))$$

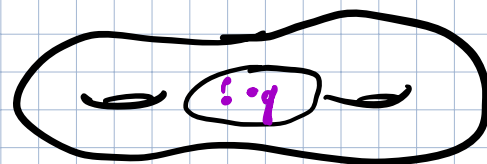
differential w.r.t. variable  $q$  or  $p$ , respectively

Properties (exercise) (see extended notes)

① It is a bi-differential (i.e. a differential w.r.t. both variables: think  $\frac{dz dw}{(z-w)^2}$ )

② Symmetry:  $\Omega(p, q) = \Omega(q, p)$

③ It has a double pole (w.r.t.  $p$ ) for  $p=q$  and nowhere else. (use  $\Theta_{\Delta}(A(p) - A(q)) = 0$  for  $p=q$ )



④ Normalization

$$\oint_{p \in \alpha_j} \Omega(p, q) \equiv 0 \quad ; \quad \oint_{p \in \beta_j} \Omega(p, q) = 2\pi i \omega_j(q)$$

(Use periodicity properties of  $\Theta_{\Delta}$ )

⑤ In local coordinate  $z = \int(p)$ ,  $w = \int(q)$  in a same neighbourhood

$$\Omega(p, q) = \left[ \frac{1}{(z-w)^2} + \frac{1}{6} S_B(w) + \mathcal{O}(z-w) \right] dz dw$$

$S_B(w)$  (affine) → Bergman projective connection (i.e. stress-energy tensor in  $c=1$  CFT)

$$S_B(\tilde{w}) \left( \frac{d\tilde{w}}{dw} \right)^2 = S_B(w) + \{ \tilde{w}, w \} \rightarrow \text{Schwarzian derivative}$$

$$\{ \tilde{w}, w \} = \left( \frac{\tilde{w}'''}{\tilde{w}'} \right)' - \frac{3}{2} \left( \frac{\tilde{w}''}{\tilde{w}'} \right)^2 \quad \left( \tilde{w}' = \frac{d\tilde{w}}{dw} \right)$$



# Fuchsian representation & $\dim(M_g)$

There is a different representation of  $RS_g$  as  
quotient of their universal cover by the action of a  
discrete group. This is entirely akin to the case of

elliptic curves  $E_\tau \simeq \mathbb{C}/\mathbb{Z} + \tau\mathbb{Z}$  (the group is  $\mathbb{Z} \times \mathbb{Z} \simeq \pi_1(E)$ )

## Facts

- ) Any  $\mathcal{C}$  of  $g \geq 2$  admits a unique metric in the  
same conformal class of constant gaussian curvature  $= -1$ .

$$ds^2 = \rho(z, \bar{z}) |dz|^2 \quad (|dz|^2 = dx^2 + dy^2)$$

$$\rho(z, \bar{z}) > 0$$

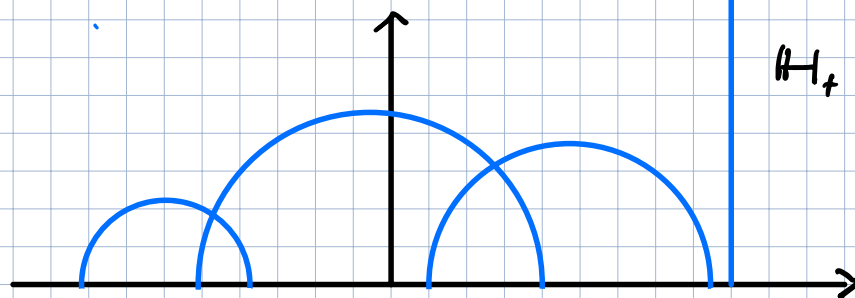
$$\Delta \ln \rho = -1$$

- ) Thus the universal cover is a simply connected surface (open)  
with a negative curvature metric. I.e.  $\mathbb{H}_+ = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$

with

$$ds^2 = \frac{dx^2 + dy^2}{y^2}$$

- Examples of geodesics: semicircles with center on  $\mathbb{R}$  (or vertical lines)



- The action of deck transformations is an isometry:

$$\text{Iso}(\mathbb{H}_+, ds^2) = \text{PSL}_2(\mathbb{R})$$

$$\gamma(z) = \frac{az+b}{cz+d} \quad \det \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \pm 1 \quad a, b, c, d \in \mathbb{R}$$

Namely  $\pi_1(\mathbb{C}, p_0) \cong \langle \alpha_1^{\pm 1}, \dots, \beta_g^{\pm 1} \mid \alpha_1 \beta_1 \alpha_1^{-1} \beta_1^{-1} \dots \alpha_g \beta_g \alpha_g^{-1} \beta_g^{-1} = \text{id} \rangle$

is represented by a (discrete) subgroup of  $\text{PSL}_2(\mathbb{R})$ , i.e.  $2g$  matrices

$\alpha_j \mapsto A_j ; \beta_j \mapsto B_j \in \text{SL}_2(\mathbb{R})$  subject to

$$A_1 B_1 A_1^{-1} B_1^{-1} \dots A_g B_g A_g^{-1} B_g^{-1} = \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$|\text{tr } M| > 2$$

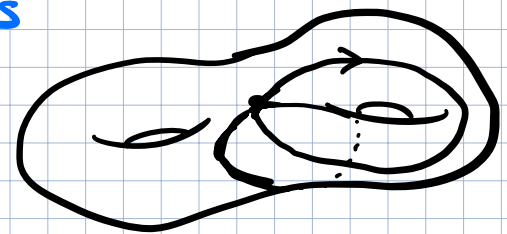
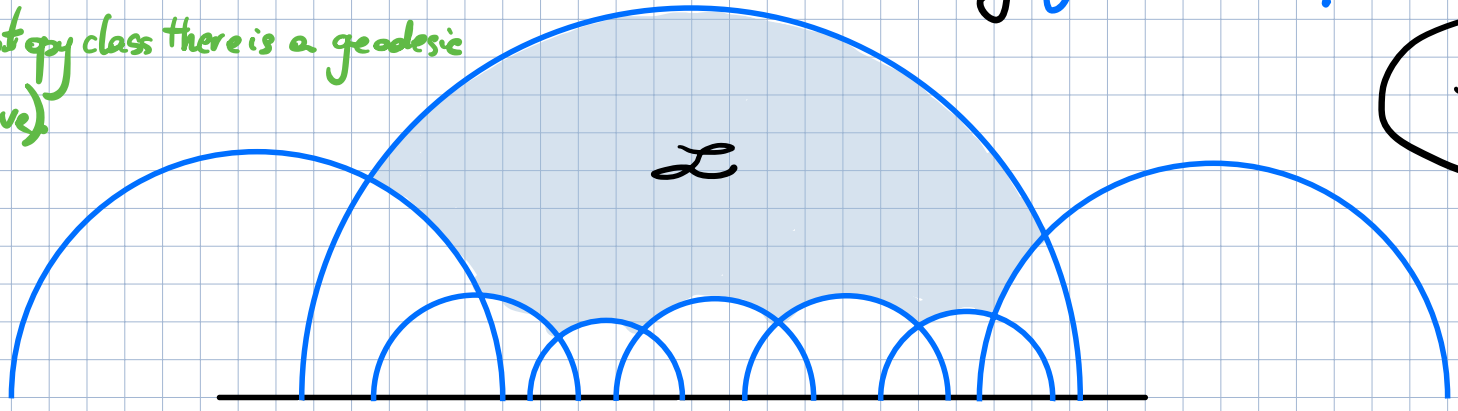
Lesson:  $\mathbb{C} \cong \mathbb{H}_+ / \Gamma$  where  $\Gamma$  is a discrete group of (hyperbolic) isometries of  $\mathbb{H}_+$



Remark  $M \in \text{PSL}_2(\mathbb{R})$  is  $\begin{cases} \text{hyperbolic} & |\text{tr} M| > 2 \\ \text{elliptic} & |\text{tr} M| < 2 \\ \text{parabolic} & |\text{tr} M| = 2 \end{cases} \begin{matrix} \longrightarrow \text{nodes \& singularities} \\ \longrightarrow \text{removed points.} \end{matrix}$

The fundamental polygon can be realized by geodesic loops

(in any homotopy class there is a geodesic representative)



However: since  $p_0$  (basepoint) can be chosen arbitrarily (and  $p_0 \mapsto \tilde{p}_0$  amounts to a conjugation) we consider the matrix

Equivalently: if we conjugate all  $A_i, B_i$ 's by the same  $G \in \text{Iso}(\mathbb{H}/_+)$  we clearly have the same R.S.

$$\dim \mathcal{M}_g (= \dim \overline{\text{Teich}}_g)$$

What we are presenting is not just a conformal class of metrics, but also a choice of generators for  $\pi_1$ , (a marking).

The corresponding moduli space is called Teichmüller space.

The moduli space  $\mathcal{M}_g$  is a further quotient by the action of change of basis of generators ("mapping class group"). However the dimension is the same. Let's compute it!

$$\mathcal{T}_g \simeq \text{Hom}(\pi_1, \text{PSL}_2^{(\text{hyp})}(\mathbb{R})) / \text{PSL}_2(\mathbb{R}) = \left\{ \overset{\text{(all hyperbolic)}}{A_1 B_1 A_1^{-1} B_1^{-1} \cdots A_g B_g A_g^{-1} B_g^{-1}} = \pm 1 \right\} / \text{PSL}_2(\mathbb{R})$$

$$\dim_{\mathbb{R}} \mathcal{T}_g = 3 \cdot 2g - 3 - 3 = 6g - 6 \quad (\text{i.e. } 3g - 3 \text{ complex})$$

THE END